Attorney's Docket No.: 42390.P7178

Patent

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Horgan, et al.

Application No. 09/470,092

Filed: 12/21/1999

For: METHOD AND APPARATUS ENCODING  
INFORMATION IN AN IC PACKAGE

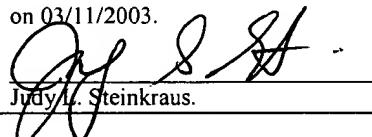
Examiner: Matthew E. Warren

Art Unit: 2815

First Class Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to Box RCE, Commissioner for Patents, Washington, D.C. 20231-0001

on 03/11/2003.

Judy L. Steinkraus.  
COMMISSIONER FOR PATENTS  
Washington, D.C. 20231-0001

SIR: Transmitted herewith is an Amendment for the above application.

Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established by a verified statement previously submitted.

A verified statement to establish small entity status under 37 C.F.R. §§ 1.9 and 1.27 is enclosed.

No additional fee is required.

The fee has been calculated as shown below:

	(Col. 1)	(Col. 2)	(Col. 3)	
	Claims Remaining After Amd.	Highest No. Previously Paid For	Present Extra	
Total Claims	*	Minus	**	
Indep. Claims	*	Minus	***	
<b>First Presentation of Multiple Dependent Claim(s)</b>				

SMALL ENTITY		OTHER THAN A SMALL ENTITY	
Rate	Additional Fee	Rate	Additional Fee
X9	\$	X18	\$
X42	\$	X84	\$
+140	\$	+280	\$
Total Add. Fee	\$	Total Add. Fee	\$

\* If the entry in Col. 1 is less than the entry In Col. 2, write "0" in Col. 3.

\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

\*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest No. Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

RECEIVED  
MAR 19 2003  
TECHNOLOGY CENTER 2800  
(LJV/cak 10/01/00)

A check in the amount of \$ \_\_\_\_\_ is attached for presentation of additional claim(s).

Applicants hereby Petition for an Extension of Time of two months pursuant to 37 C.F.R. § 1.136(a).

A check for \$410.00 is attached for processing fees under 37 C.F.R. § 1.17.

Please charge my Deposit Account No. 02-2666 the amount of \$ \_\_\_\_\_.

**A duplicate copy of this sheet is enclosed.**

The Commissioner of Patents and Trademarks is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 02-2666  
**(a duplicate copy of this sheet is enclosed):**

Any additional filing fees required under 37 C.F.R. § 1.16 for presentation of extra claims.

Any extension or petition fees under 37 C.F.R. § 1.17.

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

John P. Ward

Reg. No. 40,216

Date: 03/11/2003

12400 Wilshire Boulevard  
Seventh Floor  
Los Angeles, California 90025  
(408) 720-8300



## FEE TRANSMITTAL FOR FY 2003

**TOTAL AMOUNT OF PAYMENT (\$)** 1,160.00

Complete if Known:

Application No. 09/470,092

Filing Date 12/21/1999

First Named Inventor Horgan, et al.

Group Art Unit 2815

Examiner Name Matthew E. Warren

Attorney Docket No. 42390.P7178

### METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge deficiencies in indicated fees and credit any over payments to:

Deposit Account Number 2-2666

Deposit Account Name \_\_\_\_\_

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed: X Checks  
       Money Order  
       Other

### FEE CALCULATION

#### 1. BASIC FILING FEE

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>
Fee	Fee	Fee
Code	Code	(\$)
1001	750	2001 375
1002	330	2002 165
1003	520	2003 260
1004	750	2004 375
1005	160	2005 80
		Utility application filing fee
		Design application filing fee
		Plant filing fee
		Reissue filing fee
		Provisional application filing fee

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750  
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SUBTOTAL (1) \$ \_\_\_\_\_

#### 2. EXTRA CLAIM FEES

	<u>Extra Claims</u>	<u>Fee from below</u>	<u>Fee Paid</u>
Total Claims <u>14</u>	$- 20^{**} = \underline{\quad 0 \quad}$	X _____	= _____
Independent Claims <u>2</u>	$- 3^{**} = \underline{\quad 0 \quad}$	X _____	= _____
Multiple Dependent		_____	= _____

\*\*Or number previously paid, if greater; For Reissues, see below.

#### Large Entity Small Entity

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>
Fee	Fee	Fee
Code	Code	(\$)
1202	18	2202 9
1201	84	2201 42
1203	280	2203 140
1204	84	2204 42
1205	18	2205 9
		Claims in excess of 20
		Independent claims in excess of 3
		Multiple dependent claim, if not paid
		**Reissue independent claims over original patent
		**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ \_\_\_\_\_

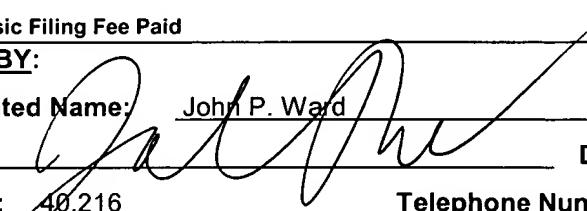
**FEE CALCULATION (continued)**

**3. ADDITIONAL FEES**

Larg Entity F Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1813	8,800	1813	8,800	Request for inter parties reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	410.00
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive – unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	For filing a submission after final rejection (see 37 CFR 1.129(a))	
1814	110	2814	55	Statutory Disclaimer	
1810	750	2810	375	For each additional invention to be examined (see 37 CFR 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
1504	300	1504	300	Publication fee for early, voluntary, or normal pub.	
1505	300	1505	300	Publication fee for republication	
1803	130	1803	130	Request for voluntary publication or republication	
1808	130	1808	130	Processing fee under 37 CFR 1.17(i) (except provisionals)	
1454	1,300	1454	1,300	Acceptance of unintentionally delayed claim for priority	
Other fee (specify)					
Other fee (specify)					
				<b>SUBTOTAL (3) \$ 1,160.00</b>	

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**

Typed or Printed Name: John P. Ward  
Signature:   
Date: 03/11/2003  
Reg. Number: 40,216 Telephone Number: (408) 720-8300 x237